



Auro Laboratories Limited

314, T. V. Industrial Estate, S.K. Ahire Marg,
Worli, Mumbai - 400 030. India
Tel. : + 91 22 6663 5456
Fax : +91 22 6663 5460
E-mail : auro@aurolabs.com
Web : www.aurolabs.com

Reg. Off. / Mfg. Unit :
K-56, M. I. D. C. Tarapur,
Dist. Palghar, Maharashtra - 401506.
CIN No. L33125MH1989PLC051910

May 30, 2022

The Listing Department
BSE Limited
Phiroz Jeejeebhoy Towers
Dalal Street, Mumbai - 400 001

Ref:

- a. Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular no. CIR/CFD/CMD1/27/2019 dated February 8, 2019
- b. Security Code No. 530233

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report for the year ended March 31, 2022

In terms of Regulation 24A of SEBI (LODR) Regulations, 2015 read with Clause 3(b)(iii) of the above referred SEBI Circular, we are submitting the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2022, issued by GMJ & Associates, Practicing Company Secretaries, Mumbai.

Kindly take the above on your record.

Thanking you,

Yours Faithfully,

For, Auro Laboratories Limited

(Siddhartha Deorah)
Whole Time Director
DIN: 00230796



Encl: A/a

**SECRETARIAL COMPLIANCE REPORT
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022**

To,
AURO LABORATORIES LIMITED
K-56, MID C, Tarapur Industrial Area,
Thane - 401 506.

We, GMJ & Associates, Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by **AURO LABORATORIES LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity is functional,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31.03.2022 ("Review Period") in respect of compliance with the provisions of:

- (i) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (ii) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");
- (iii) The following Regulations prescribed under The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the circulars/ guidelines issued thereunder, have been examined: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the Company during the review period)
- (e) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the Company during the review period)

[Cont...2]



SONIA
MELWYN
CHETTIAR
Digitally signed by
SONIA MELWYN
CHETTIAR
Date: 2022.05.27
16:45:31+05'30'

...2...

- (f) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 [Formerly known as Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014] (Not applicable to the Company during the review period)
- (g) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the Company during the review period)
- (h) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable to the Company during the review period)

Based on our examination and verification of the documents and records produced to us and according to the information and explanations given by the Company, we report that: -

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 3(5) of the The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.	The Company has not devised a Structured Digital Database for maintaining all information under Regulation 3(5).	The Company has maintained the requisite information in Microsoft Excel.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) There are no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

[Cont...3]



SONIA
MELWYN
CHETTIAR
Digitally signed by
SONIA MELWYN
CHETTIAR
Date: 2022.05.27
16:35:16 +05'30'

...3...

- (d) The Company was required to take action with regard to compliance with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	The Company has not devised a Structured Digital Database for maintaining all information under Regulation 3(5).	The Company has not devised a Structured Digital Database for maintaining all information under Regulation 3(5) of SEBI (Prohibition of Insider Trading) Regulation 2015 in the Financial year March 31, 2021.	No	No

For **GMJ & ASSOCIATES**
Company Secretaries

SONIA MELWYN CHETTIAR
Digitally signed by
SONIA MELWYN
CHETTIAR
Date: 2022.05.27
16:35:34 +05'30'

[SONIA CHETTIAR]
PARTNER
ACS: 27582 COP: 10130
UDIN: A027582D000404871

PLACE: MUMBAI
DATE: MAY 27, 2022.

